



Empowered Funds, LLC, doing business as ETF Architect, is a premier white-label ETF advisory firm, specializing in helping financial advisors and asset managers build, launch, and manage customized ETF products. As a white-label provider, we enable advisors to bring their own branded ETF products to market with an affordable and turnkey solution. Our team brings deep industry experience, a passion for innovation, and a commitment to helping advisors create products that benefit investors. We pride ourselves on understanding the unique needs of each client and working collaboratively to deliver tailored solutions that drive success. ETF Architect is veteran- and employee-owned, and we pride ourselves on our reputation for education, integrity, and ingenuity.

Be a Part of ETF Architect's Legal Team!

ETF Architect's Legal Team plays a crucial role in ensuring the smooth functioning of the ETFs that we manage and the success of our client relationships. The team is responsible for providing legal advice to ETF Architect regarding the regulatory and compliance obligations of ETFs, corporate governance matters involving registered investment companies and their boards, and disclosure requirements as part of a fund's registration statement. Our Legal Team engages directly with our clients and supports cross-functional collaboration with other teams (e.g., fund operations, trading, and compliance), custodians, and listing exchanges to ensure the services being provided to our clients are executed according to our high standards.

Your New Role: Regulatory Counsel

As Regulatory Counsel to ETF Architect, you will play a key role in the registration of new ETFs with the U.S. Securities and Exchange Commission (SEC). This role includes reviewing and overseeing filings prepared by our Legal Team and outside counsel, including registration statements for new products and updates for existing ones. Our Regulatory Counsel is also expected to be involved in the review or drafting of stickers, supplements, proxy statements, and other filings as may be required for a fund. As part of the registration process, our Regulatory Counsel may engage directly with the SEC and other regulatory agencies, as well as with the board of trustees and other stakeholders involved in the governance of funds. In this role, you may be asked to attend board meetings (travel 1-2 times per quarter) and review or prepare materials for such meetings, including agendas and minutes. In addition to providing advice on securities matters, our Regulatory Counsel can expect to be involved in drafting or negotiating investment advisory agreements and other contracts related to our business. Contact us for more details.

Preferred Qualifications & Skills

- Law degree and license to practice in your home jurisdiction required
- 3+ years of related work experience with federal securities laws (ETF and/or mutual fund experience required)
- Strong understanding of legal and regulatory principles applicable to ETFs
- Excellent communication and interpersonal skills, with the ability to work collaboratively with team members, clients, and outside counsel
- Experience with Wdesk for regulatory filings
- Exceptional attention to detail and client service skills
- Ability to work independently and as a member of a team
- Ability to prioritize and work concurrently on a variety of projects
- Interest and commitment to learning new and sometimes complex concepts
- Ability to innovate and drive efficiencies across workstreams



Compensation

ETF Architect offers compensation based on the experience, skills, and responsibilities of each candidate. Compensation for this role is expected to be between \$140,000 and \$180,000 base plus incentives and other benefits (e.g., 401(k)).

Virtual Engagement

Our team operates predominantly in a virtual manner, and the Regulatory Counsel role offers the opportunity to work remotely full-time. We regularly engage on video with clients and colleagues, so candidates must have an environment conducive to working remotely.